IN THE UNITED STATES PATENTAND TRADEMARK OFFICE

Application No.: 09/445,193 | Art Unit: 1625

Filed: 12/02/1999 Examiner: B. Robinson

1st Inventor: OHKAWA, S.

For: Heterocyclic Compounds, Their Production and Use

RECEIVED

Atty. Dkt. No. 2470 US0P Paper No.: **FEB** 0 6 2001

Communication to Examiner: Second Submission of References Cited in

Applicant's Information Disclosure Statement

Assistant Commissioner for Patents Washington, D.C. 20231 Sir:

Dated: February 2, 2001

Applicants herewith submit a second set of references which were cited in the Applicant's Information Disclosure Statement of 01/19/00, that were somehow not properly placed in the USPTO file wrapper of the above identified application.

A copy of the Form PTO-1449 with the enclosed cited references listed is also enclosed.

The cited references are being sent in two separate Express Mail packages.

Should the Examiner believe that a conference with applicants' attorney would advance prosecution of this application, he is respectfully requested to call applicants' attorney at (847) 383-3372.

Respectfully submitted,

Philippe Y. Riesen, Reg. No. 35,657

Mark Chao, Ph.D., Reg. No. 37,293

Attorney for Applicants Customer No. 23115

Page 1 of 4		
FORM PTO 1449 (modified) U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE LIST OF REFERENCES CITED BY APPLICANT(S)	ATTY DOCKET NO.: 2470USOP	SERIAL NO.: 09/445,193
	APPLICANT: S. Ohkawa et al.	
(Use several sheets if necessary) Date Submitted to PTO:	FILING DATE: December 2, 1999	GROUP:

			U.S. PATE	NT DOCUMEN	TS			
*EXAMINER INITIAL	REF No.	DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DAT APPROPRIA	
><	A1	USP 4659360	Apr. 21, 1987	J. Baum et al.			,	·
\nearrow	A2	USP 5593989	Jan. 14, 1997	J. Peglion et al.				
	A3	USP 5668142	Sep. 16, 1997	J. Peglion et al.	. /	0176	8	
><	A4	USP 5747508	May 5, 1998	P. Richter et al.	PA	FEB 0 2 2001		
\sim	A5	USP 4426385	Jan. 17, 1984	P. Cain	PATEMI		2	
	A6	USP 5681842	Oct. 28, 1997	J. Dellaria and Todd	A	RADEMARY	8	
			FORFIGN PA	Gane FENT DOCUMI			<u> </u>	· · · · · · · · · · · · · · · · · · ·
*EXAMINER INITIAL	REF No.	DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATIO YES NO	
J	A7	WO 95/29907	Nov. 9, 1995	WIPO				
~	A8	JP 01199957 🗸	Aug. 11, 1989	Japan			English abstract	
	~A9	JP 03261778 ✓	Nov. 21, 1991	Japan			English abstract	
(A10	JP 6312976 🗸	Nov. 8, 1994	Japan			English abstract	
~	A11	JP 7145147 🗸	Jun. 6, 1995	Japan			English abstract	
7	A12	EP 0526951 A1	Feb. 10, 1993	EP				
	A13	EP 0729956 A1	Sep. 4, 1996	EP				
	A14	EP 0281261 A2 /	Sep. 7, 1988	EP				٠.
	A15	WO 95/17095 V	Jun. 29, 1995	WIPO				·
	A16	WO 97/25033	Jul. 17, 1997	WIPO				
7	A17 -	EP 0273647 A1	Jul. 6, 1988	EP				
~	A18	EP 0483772 A1 🗸	May 6, 1992	EP				
7	A19	EP 0345593 A1	Dec. 13, 1989	EP				
7	`A20	EP 0345592 A1	Dec. 13, 1989	EP				
	A21	EP 0722726 A1	Jul. 24, 1996	EP			,	

EXAMINER:	DATE CONSIDERED:

^{*}EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

06	21
<u>rz</u>	

_	r3 = .		
	Page 2 of 4		
		ATTY DOCKET NO.: 2470US0P	SERIAL NO.: 09/445,193
U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE LIST OF REFERENCES CITED BY APPLICANT(S)	APPLICANT: S. Ohkawa et al.		
	(Use several sheets if necessary) Date Submitted to PTO:	FILING DATE: December 2, 1999	GROUP:

			FOREIGN PA	FENT DOCUM	ENTS		· .
*EXAMINER INITIAL	REF No.	DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION YES NO
>/	A22	WO 94/14434 🗸	Jul. 7, 1994	WIPO			
¥	A23	WO 84/02131	Jun. 7, 1984	WIPO			
1	A24	EP 0733631 A1	Sep. 25, 1996	EP	01	PA	
	A25	DE 19602095 A1	Jul. 24, 1997	Germany	FEB (1)	TENTA .	English abstract
	A26	EP 0445073 A1	Sep. 4, 1991	EP E		2007	English abstract
, v	A27	EP 0686637 A1	Dec. 13, 1995	EP	RADEMI	BKO	A2 is the correspondi ng English version
Y	A28	EP 0778274 A1	Jun. 11, 1997	EP			A3 is the correspondi ng English version
X	A29	EP 0165810 A2	Dec. 27, 1985	EP			
\gg	A30	JP 7-247263	Sep. 26, 1995	Japan			English abstract
2	A31	EP 0054924 A2	Jun. 30, 1982	EP			
V	A32	JP 3-161405	Jul. 11, 1991	Japan			English abstract
V	A33	EP 0394043 A1 ,	Oct. 24, 1990	EP			
V	A34	EP 0365925 A1	May 2, 1990	EP			
V	A35	WO 91/05474	May 2, 1991	WIPO			English abstract
~	A36	JP 3-151311	Jun. 27, 1991	Japan			English abstract
X	A37	JP 4-193803	Jul. 13, 1992	Japan			English abstract
	A38.	JP 6-239853	Aug. 30, 1994	Japan			English abstract
	A39	WO 95/09159	Apr. 6, 1995	WIPO			
A	A40	JP 7-179856	Jul. 18, 1995	Japan			English abstract
	A41	WO 97/34869 🗸	Sep. 25, 1997	WIPO	 		English abstract

EXAMINER:	DATE CONSIDERED:
)	

^{*}EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

			FOREIGN PA	TENT DOCUM	ENTS		9
*EXAMINER INITIAL	REF No.	DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION YES NO
V	A22	WO 94/14434 🗸	Jul. 7, 1994	WIPO	OIP		
V	A23	WO 84/02131	Jun. 7, 1984	WIPO		40	
	A24	EP 0733631 A1	Sep. 25, 1996	EP Germany	FEB 0 2	2001	
	A25	DE 19602095 A1	Jul. 24, 1997	Germany	-	10	English abstract
	A26	EP 0445073 A1	Sep. 4, 1991	EP	RADEN	AE	English abstract
	A27	EP 0686637 A1	Dec. 13, 1995	EP			A2 is the correspondi ng English version
	A28	EP 0778274 A1	Jun. 11, 1997	EP			A3 is the correspondi ng English version
	A29	EP 0165810 A2	Dec. 27, 1985	EP			
	A30	JP 7-247263	Sep. 26, 1995	Japan			English abstract
	A31	EP 0054924 A2	Jun. 30, 1982	EP			
	A32	JP 3-161405	Jul. 11, 1991	Japan			English abstract
	A33	EP 0394043 A1	Oct. 24, 1990	EP			
V	A34	EP 0365925 A1	May 2, 1990	EP			
	A35	WO 91/05474	May 2, 1991	WIPO			English abstract
	A36	JP 3-151311	Jun. 27, 1991	Japan			English abstract
	A37	JP 4-193803	Jul. 13, 1992	Japan			English abstract
7	A38.	JP 6-239853	Aug. 30, 1994	Japan			English abstract
V	A39	WO 95/09159	Apr. 6, 1995	WIPO			
V	A40	JP 7-179856	Jul. 18, 1995	Japan			English abstract
V	A41	WO 97/34869 🗸	Sep. 25, 1997	WIPO			English abstract

and the second s	
EV LAGNED D	ATE CONSIDERED:
EXAMINER: D	ALE CONSIDERED:

^{*}EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

Page 3 of 4			
FORM PTO 1449 (modified)	ATTY DOCKET NO.: 2470US0P	SERIAL NO.: 09/445,193	AFO
U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE LIST OF REFERENCES CITED BY APPLICANT(S)	APPLICANT: S. Ohkawa et al.		CEIVE
(Use several sheets if necessary) Date Submitted to PTO:	FILING DATE: December 2, 1999	GROUP:	16 200 L
			TECHO (O)

	-			-				TECH CENT	= = 1800
			FOREIGN PA	TENT DOCUM	ENTS				
XAMINER NITIAL	REF No.	DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	TRANSLA' YES		
V	A42	WO 96/04251 /	Feb. 15, 1996	WIPO			English abstract		
V	A43	WO 96/20925	Jul. 11, 1996	WIPO			English abstract		
V	A44	JP 2-233679	Sep. 17, 1990	Japan	-		English abstract		
V	A45	WO 96/11192 🗸	Apr. 18, 1996	WIPO					
$\overline{}$	A46	JP 4-95070 🗸	Mar. 27, 1992	Japan			English abstract		1 .
	A47	WO 96/10999	Apr. 18, 1996	WIPO				. /	
V	A48	EP 0383281 A1	Aug. 22, 1990	EP					
	A49	WO 98/05292 V.	Feb. 12, 1998	WIPO	16	TPE			OTE
	A50	WO 87/00840 V	Feb. 12, 1987	WIPO	/ C	TO S			
	A51	EP 0224816 A1	Jun. 10, 1987	EP	□ FE	B 0 2 2001	302		022
V	A52	EP 0277842 A1 🗸	Aug. 10, 1988	EP	EE	34	•	E.	-
					4	RADEMBE		E CONTRACTOR OF THE PROPERTY O	EMAB!
	l nnn			DOCUMENT(S		M DA ODG DMG			1
AMINER NITIAL	REF No.		AUTHOR, TITLE, DATE, PERTINENT PAGES, ETC.						
	A53√	Eur. J. Med. Chem.	S Kaltenbronn et al., "Benzofuran derivatives a ET _Λ -selective, non-peptide endothelin antagonists" Eur. J. Med. Chem. (1997), Vol. 32, pp. 425-431						
<u>\</u>	A54.⁄	Pharmaceutical Sci	M. David et al., "Evaluation of Antiviral Activity of Chromane Diols and their Synthetic Analogues" Pharmaceutical Sciences (1997), Vol. 3, pp. 305-309						
V	A55,	. 62, pp. 6978-6984	B. Snider et al, "Synthesis of 2,3-Dihydrobenzofurans by Mn(Oac)3-Based" J. Org. Chem. (1997), Vol. 62, pp. 6978-6984						
$\overline{\checkmark}$	A56./	M. Miyake et al., Heterocyoles, Vol. 4	"Synthesis and 3, No. 3, 1996, p	l Biological Ac p.665-674	tivity of A	rthrographol a	nd Related	Compounds"	
\overline{V}	A57		I. Matsutani et al., "Synthesis of Ferroelectric Liquid Crystals Having Chiral" Mol. Cryst. Ltq. Cryst. 995, Vol. 263, pp. 131-138						
V	A58/	M. Ponpipom et al., Vol. 30, pp. 136-142		vity Relationshi	ps of Kadsı	irenone Analog	ues" <i>J. Med.</i>	Chem. 1987,	
V	A59,	R. Cline et al., "Ga: 28, (April 1990), pp.		hic and Spectra	l Properties	of" J. Chro	matographic	Science, Vol.	

EXAMINER:	DATE CONSIDERED:
Exemitate.	Bills concissions.

^{*}EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

Page 4 of 4		
FORM PTO 1449 (modified) U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE LIST OF REFERENCES CITED BY APPLICANT(S) (Use several sheets if necessary) Date Submitted to PTO:	ATTY DOCKET NO.: 2470USOP	SERIAL NO.: 09/445,193
	APPLICANT: S. Ohkawa et al.	
	FILING DATE: December 2, 1999	GROUP:

OTHER DOCUMENT(S)			
*EXAMINER INITIAL	REF No.	AUTHOR, TITLE, DATE, PERTINENT PAGES, ETC.	
V	A60√	A. Ratnakar et al., "Synthesis of a New Type of 5-Heteroaryl-3" Asian J. of Chemistry Vol. 4, No. 2, (1992), pp. 197-200	
V	A61√	Z.M. Wang et al., "The Revised Structure of Gnetifolin A" Chinese Chemical Letters Vol. 6, No. 8, pp. 683-686	
T.	A62/	K. Clarke et al., "Substitution Reactions of Benzo[b]thiophen Derivatives. Part VII" J.C.S. Perkin I, (1973), pp. 1196-1200	
V	A63 /	D. Kemp and D. Buckler, "New Templates for Prior Thiol Capture" <i>Tetrahedron Letters</i> , Vol. 32, No. 26, pp. 3009-3012, (1991)	
\\\\\\\\\\\\\\\\\\\\\\\\\\\\\\\\\\\\\\	`A64.⁄	M. Iwasaki et al., "Palladium-Catalyzed Cyclocarbonylation of 3-(Heteroary)allyl Acetates" J. Org. Chem. (1991), Vol. 56, pp. 1922-1927	
>	A65_	M. Shipchandler et al., "Coumarins XI: A Total Synthesis of" J. Pharmaceutical Science, Vol. 59, No. 1, (Jan. 1970) pp. 67-71	
X	A66~	E. Campaigne and R. Rogers, "Benzo[b]thiophene Derivatives. XIX. The Sulfur Isosteres of" J. Heterocycl. Chem., Vol. 10, No. 3, (1973) pp. 297-305	
	A67	XP002074285 Chemical Abstracts, Vol. 110, No. 19, (May 8, 1989) pp. 762, abstract no. 173099g	
	A68	XP002074286 Chemical Abstracts, Vol. 126, No. 17, (Apr. 28, 1997) pp. 570, abstract no. 225226y	
	A69_	M. David et al., "Une nouvelle voie d'accés courte" Bull. Soc. Chim. Fr., vol. 130, no. 4, 1993, pp. 527-534, with its English abstract	



EXAMINER: DATE CONSIDERED: